**Schedule 1 Application Form**

# STATEMENT OF APPLICANT

Dear Madam or Sir,

Please find enclosed an Investment Opportunity form submitted on behalf of **[ENTER TEAM NAME]** (hereinafter: Applicant or Financial Intermediary) as an expression of interest under the Call for the Selection of Financial Intermediaries implemented by HBOR.

The below signed is authorised to represent **[ENTER TEAM NAME]** and confirms, by signing this form, that the Financial Intermediary, on the date of the submission of Investment Opportunity form and five years beforehand, was not in one or any of the situations described below:

1. Proposal for the initiation of bankruptcy proceedings has been submitted or liquidation proceedings have been initiated against the Financial Intermediary;
2. Decision has been made to annul or revoke the decision granting the Financial Intermediary an operating permit;
3. Responsible persons of the Financial Intermediary have been finally convicted of a criminal offence related to their professional conduct;
4. Responsible persons of the Financial Intermediary have been finally convicted of criminal offences of fraud, corruption, participation in a criminal organisation or any other illegal activity;
5. Financial Intermediary has materially misrepresented the data submitted to HBOR, in particular:
   1. data necessary for HBOR's decision to become an investor, or
   2. data necessary to verify the absence of grounds for exclusion.

Furthermore, by my signature, I confirm that I have read and that I accept HBOR's Disclaimer and Acceptance of Terms and Conditions: **DISCLAIMER AND ACCEPTANCE OF TERMS AND CONDITIONS** as well as HBOR's Statement on the Confidentiality of Personal Data: **PERSONAL DATA CONFIDENTIALITY STATEMENT**.

Yours faithfully,

|  |  |  |  |
| --- | --- | --- | --- |
| Signature |  |  |  |
| First name and last name of person authorised to submit Investment Opportunity form |  |  |  |
| Place and date |  |  |  |

# DATA ON APPLICANT

|  |  |
| --- | --- |
| **Data on person submitting Investment Opportunity form** | |
| Name |  |
| Legal form |  |
| Court register data  (name of commercial court, date of entry, OIB (PIN) / MBS (entity registration number) |  |
| Address | |
| Name of street |  |
| City |  |
| Postal number |  |
| Country |  |

|  |  |
| --- | --- |
| **Data on person authorised to submit Investment Opportunity form** | |
| First name and last name |  |
| Position |  |
| Address | |
| Name of street |  |
| City |  |
| Postal number |  |
| Country |  |
| Phone |  |
| Email |  |

|  |  |
| --- | --- |
| **Data on contact person** (if different from person authorised to submit Investment Opportunity form) | |
| First name and last name |  |
| Position |  |
| Address | |
| Name of street |  |
| City |  |
| Postal number |  |
| Country |  |
| Phone |  |
| Email |  |

# DISCLAIMER AND ACCEPTANCE OF TERMS AND CONDITIONS

HBOR does not assume any responsibility for the correctness and completeness of the data requested or stated in the application form completed and submitted by the Applicant.

The Applicant confirms and agrees that the completion of the application form and any other procedures, actions and other issues related to the selection procedure in no way imply HBOR's obligation to conclude a business relationship with the Applicant.

The Applicant declares and agrees that it will bear all costs of submitting the application, its delivery, collection and submission of data and documents.

The Applicant acknowledges that HBOR is in no way obliged to reimburse the Applicant or anyone else for costs related to the submission of the application.

The Applicant declares and guarantees that all data provided in the application form are true, correct and complete.

# HBOR’s PERSONAL DATA CONFIDENTIALITY STATEMENT

In accordance with the rules governing the protection of personal data, namely Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation), the Act on the Implementation of the General Data Protection Regulation as well as all other primary and secondary legislation governing the protection of personal data, HBOR will maintain the confidentiality of all personal data entrusted to it for processing or that otherwise become known or accessible to it during the course of business relationship.

HBOR processes personal data exclusively for the purpose of providing banking and other financial services for which it is authorised by applicable regulations. The principles and rules of personal data processing and the rights of data subjects regarding the protection of personal data are regulated by the documents: Privacy Policy and Information for Data Subjects, which are publicly available at HBOR’s website address: [www.hbor.hr](http://www.hbor.hr).

*This is an unofficial translation of the original text in Croatian. In case of discrepancies, the Croatian version will prevail.*